

# Colored films produced by electron beam deposition from nanometric $\text{TiO}_2$ and $\text{Al}_2\text{O}_3$ pigment powders obtained by modified polymeric precursor method

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## Abstract

Synthesis and the characterization of  $\text{TiO}_2$ :5%Co (green),  $\text{TiO}_3$ :5%Fe (brown-reddish),  $\text{TiO}_2$ :2%Cr (brown),  $\text{Al}_2\text{O}_3$ :5%Co (blue),  $\text{Al}_2\text{O}_3$ :5%Fe (brown-reddish) and  $\text{Al}_2\text{O}_3$ :2%Cr (light green) nanometric pigment powders using polymeric precursor (modified Pechini's method) is reported. Colored thick films were deposited on amorphous quartz substrates by electron beam physical vapor deposition (EB-PVD) using pellets of the pigment powders as target. The evaporation process was carried out in vacuum of  $4 \times 10^{-6}$  Torr and the amorphous quartz substrates were kept at 350 °C during deposition. The  $\text{TiO}_2$ -based pigment powders presented crystalline anatase phase and the  $\text{Al}_2\text{O}_3$ -based pigment powders showed corundum phase, investigated by X-ray diffraction (XRD). The average particle size of the pigment powders was about 20 nm, measured by scanning electron microscopy with field emission gun (SEM-FEG). Diffuse reflectance spectra and colorimetric coordinates  $L^*$ ,  $a^*$ ,  $b^*$  using the CIE- $L^*a^*b^*$  method are shown for the pigment powders, in the 350–750 nm range. The colored thick films were characterized by transmittance (UV–Vis) and atomic force microscopy (AFM). The average film roughness was  $\sim 5.5$  nm and the average grain size obtained in the films was around 75 nm. Films with thickness from 400 nm to 690 nm were obtained, measured by talystep profiler. Transmission spectra envelop method has been used to obtain refractive index and thickness of the  $\text{Al}_2\text{O}_3$  colored thick films.

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## 1. Introduction

Nanoscience is currently enabling revolutionary changes in several areas of technology but new paradigms will eventually have a much wider and innovative impact [1–3]. In the area of coatings and pigments, new approaches through nanoscale effects can be used to create coatings with significantly optimized properties. The conventional areas of its application are paints, building materials, plastics, enamel and ceramics [4]. The pigment materials used for these purposes are

normally prepared at high temperatures and consist of powders with large particle sizes. However, for special applications such as coloring and UV-stabilization of plastics, inks, transparent thin films on glass, and coatings on luminescent materials, pigment particles in the nanoscale region are required. In view of this requirement, the preparation of nanometric pigment powders is considerably complicated by the unfavorable surface-to-volume ratio of the particles. It is necessary use for the low temperatures during the synthesis of pigments powders in order to limit the growth of particles that renders this situation even more difficult. In fact, nanometric oxide materials have been prepared at low temperatures by various methods [5–11], but the color quality of nanoscale materials has attracted only little attention up to now.

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Coating manufacturers consume nearly three million tonnes of  $\text{TiO}_2$  pigments every year, a clear indication of the importance of this opacifying pigment. This reflects the high ability of  $\text{TiO}_2$  to uniformly scatter white light without absorption (which would lead to color). In addition,  $\text{TiO}_2$  possesses a number of attractive properties, among which are its high refractiveness, high dielectric constant, semiconductor properties and chemical stability. Compact  $\text{TiO}_2$  thin films deposited on conducting glass are used in new types of liquid and solid dye-sensitized solar cells, as well as in solar cells with extremely thin organic or inorganic absorbers. These thin films are also of interest for application in the photo-oxidation of water, photocatalysis, and electrochromic devices [12].

Corundum ( $\alpha\text{-Al}_2\text{O}_3$ ) is one of the widely applied material in ceramics. Its extended use is due to low cost and important mechanical, electronic and catalytic properties [13]. In recent years, there has been an increasing interest in the synthesis of nanocrystalline  $\text{Al}_2\text{O}_3$ , which is important for a variety of applications including fabrication of metal ceramic laminate composites and as reinforcement phase in polymer and brittle matrix composites [14]. Conventional methods for synthesizing  $\alpha\text{-Al}_2\text{O}_3$  powder involve solid-state thermally driven transformations from the hydrates of aluminum oxide, and the total conversion to the corundum structure that occurs on heating above 1230 °C [15].

Several factors and characteristics should be considered in the selection of pigments for a specific application in ceramics. Most important of them are the intensity and the uniformity of the colors obtained as also the thermal stability and particle sizes of the powders. A method that has turned out to be quite advantageous in the preparation of nanoscale and crystalline oxide materials is the so-called Pechini's method [16]. The advantage of solution techniques is the quasi-atomic dispersion of the component cations in liquid precursors, which facilitates the synthesis of nanosize crystallized powder with high purity at low temperatures.

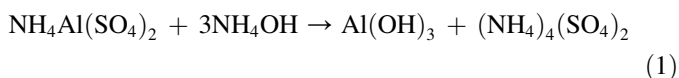
In this work is reported the preparation of  $\text{TiO}_2$  and  $\alpha\text{-Al}_2\text{O}_3$ -based pigment powders with nanometric particle sizes synthesized through a modified Pechini's method, using Cr, Co, and Fe as chromophore ions. Colored films were deposited on amorphous quartz substrates by electron beam deposition of pellets of the pigment powders. XRD, diffuse reflectance, colorimetric coordinates and SEM-FEG micrographs of the pigment powders are presented. UV–Vis transmittance spectra, AFM images of the grain size and roughness surface of the films were assessed.

## 2. Experimental

### 2.1. Nanometric $\text{TiO}_2$ and $\text{Al}_2\text{O}_3$ pigment preparation using modified Pechini's method

Pechini-type [2], in situ polymerisable complex methods are well known for the synthesis of homogenous bulk multi-component metal oxides. The method includes a combined process of metal complex formation and in situ polymerization of organics. The polymeric precursor method [17–19] consists of

a process where ethylene glycol, citric acid, and metal ions are polymerized to form a polyester-type resin. The metal ions can be immobilized in a rigid polyester network leading to a reduced segregation during the processing. The metal sources used were  $\text{Ti}[\text{OCH}(\text{CH}_3)_2]_4$  (Aldrich),  $\text{NH}_4\text{Al}(\text{SO}_4)_2$  (Riedel),  $\text{Co}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (Aldrich),  $\text{FeSO}_4 \cdot 7\text{H}_2\text{O}$  (Becker),  $\text{Cr}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (Aldrich),  $\text{HOCCH}_2\text{C}(\text{OH})(\text{COOH})\text{CH}_2\text{COOH} \cdot \text{H}_2\text{O}$  (citric acid – Synth), and  $\text{HOCH}_2\text{CH}_2\text{OH}$  (ethylene glycol – Merck), all with P.A. degree. The source of aluminum was dissolved in ammonium hydroxide –  $\text{NH}_4\text{OH}$  – yielding aluminum hydroxide –  $\text{Al}(\text{OH})_3$  – according to Eq. (1):



After formation of the aluminum hydroxide precipitate, a paper filtering was made in order to completely eliminate the ammonium sulfate, by-product of the reaction. Controlled addition of citric acid, results in the formation of aluminum citrate and in the same way, the source of titanium was dissolved in citric acid to form the titanium citrate. Next was added the metallic cations Co (5 mol%), Fe (5 mol%), and Cr (2 mol%), which act as chromophore ions and the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  act as opacifier agent. The citric acid/metal molar ratio and the citric acid/ethylene glycol mass ratio were 3:1 and 60/40, respectively. The polymerization takes place upon addition of ethylene glycol. The heat treatments to obtain the  $\text{Al}_2\text{O}_3$  and  $\text{TiO}_2$  pigment powders were carried out in two stages: initial heating of the resin at 400 °C/1 h (10 °C/min) to pyrolyse the organic material, followed by heating at 700 °C/1 h (10 °C/min) to eliminate residual organic material and formation of the crystalline phases. Details of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanometric pigment powder synthesis are outlined in the flow chart of Fig. 1.

### 2.2. $\text{TiO}_2$ and $\text{Al}_2\text{O}_3$ colored films' deposition by EB-PVD

The  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  colored films were deposited by EB-PVD, using pellets of the pigment powders as target for evaporation. The deposition of films was carried out using an electron beam gun (Telemark-231) operating at 7 kV with beam current of 50 mA. Deposition rates of  $\sim 1.0 \text{ \AA/s}$  were achieved in vacuum of  $4 \times 10^{-6}$  Torr, monitored by a quartz crystal oscillator (Sycon, STM-100). Using this setup we deposited 500–690 nm-thick films on amorphous quartz substrates submitted at 350 °C during the evaporation. The thickness of the films was measured by a Talystep Taylor–Hobson profiler. Tantalum crucibles were used to support the high temperature achieved during the evaporation of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  pellets. More details of the EB-PVD system are described in Ref. [20].

### 2.3. Pigment powders and thick films' characterizations

#### 2.3.1. Structural properties

The crystallinity and phase of the  $\text{TiO}_2/\text{Al}_2\text{O}_3$  pigment powders obtained after the calcination were investigated by

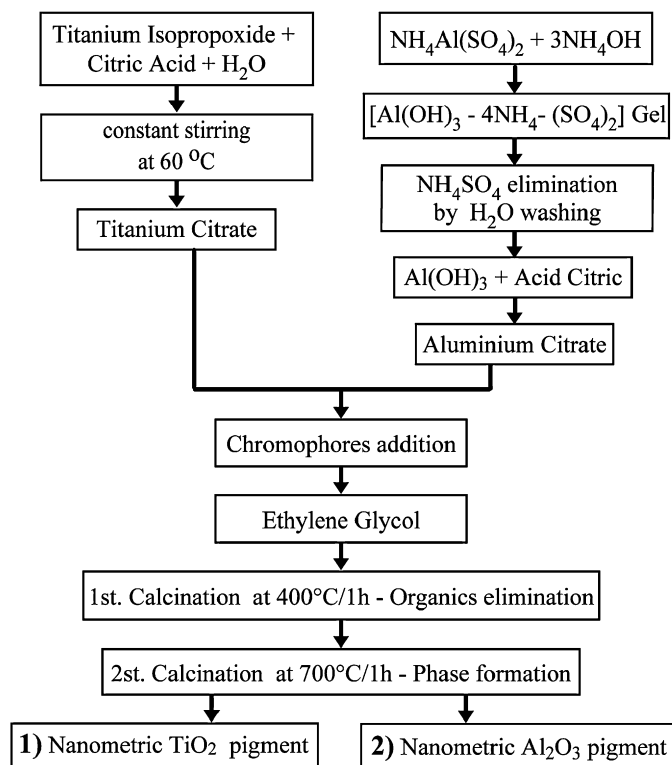


Fig. 1. Flow chart of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanometric pigments' synthesis, using a modified Pechini's method.

the X-ray diffraction (XRD) technique using a Rigaku Dmax-2500PC diffractometer operating with  $\text{Cu K}\alpha$  radiation, with  $2\theta$  detector scanning ranging from  $20^\circ$  to  $60^\circ$ , in steps of  $0.03^\circ$ .

### 2.3.2. Morphological properties

Scanning electron micrographs of the pigment powders were taken by a Zeiss (DSM-940A) Scanning Electron Microscope equipped with field emission gun (SEM-FEG), allowing 100 KX of magnification. The atomic force microscopy (AFM) images were obtained using a Discoverer TMX 2010 microscope from Topometrix. A pyramidal silicon tip with radius of 25 nm was used in all measurements carried out in contact mode. The scan area was  $1 \times 1 \mu\text{m}$  consisting of 500 lines scanned at a rate of 2 Hz, to measure the topography, grain size and the surface roughness of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  films. The image analysis and average roughness ( $R_a$ ) measurements were calculated using WSxM 4.0 software from Nanotech Electronica S.L.

### 2.4. Optical properties

Diffuse reflectance and colorimetric coordinates of the pigments were measured through the Gretac Macbeth Color-eye spectrophotometer 2180–2180UV, in the 350–750 nm range, equipped with standard light sources type A (tungsten lamp, 2800 K), C (halogen lamp, 6775 K), and D50 (day light), following the CIE- $L^*a^*b^*$  colorimetric method recommended by the CIE (Commission Internationale de l'Eclairage) [21].

In this method,  $L^*$  is the lightness axis [black (0) → white (100)],  $b^*$  is the blue (–) → yellow (+) axis,  $a^*$  is the green (–) → red (+) axis, and  $\Delta E$  is defined as the total color difference ( $\Delta E^2 = L^{*2} + a^{*2} + b^{*2}$ ). UV–Vis transmittance spectra of the colored films were measured in a Cary-17 spectrophotometer in the 200–800 nm range.

## 3. Results

The most important property to be considered in pigments is the capacity to color the environment in which they are dispersed and make it opaque. The colors obtained for the pigment powders are green ( $\text{TiO}_2$ :5%Co), brown-reddish ( $\text{TiO}_3$ :5%Fe), brown ( $\text{TiO}_2$ :2%Cr), blue ( $\text{Al}_2\text{O}_3$ :5%Co), brown-reddish ( $\text{Al}_2\text{O}_3$ :5%Fe), and light green ( $\text{Al}_2\text{O}_3$ :2%Cr). The pigment powders presented highly homogeneous and accentuated intensity of colors and this effect can be attributed to the nanometric particle sizes. The color of the films deposited by EB-PVD were dark brown ( $\text{TiO}_2$ :5%Co), brown-reddish ( $\text{TiO}_3$ :5%Fe), gray ( $\text{TiO}_3$ :2%Cr), dark brown ( $\text{Al}_2\text{O}_3$ :5%Co), brown-greenish ( $\text{Al}_2\text{O}_3$ :5%Fe) and magenta ( $\text{Al}_2\text{O}_3$ :2%Cr).

Fig. 2a–d shows the FEG-SEM images of the  $\text{Al}_2\text{O}_3$ :5%Co,  $\text{Al}_2\text{O}_3$ :2%Cr,  $\text{TiO}_2$ :5%Co, and  $\text{TiO}_3$ :2%Cr nanometric pigment powders, respectively. The resulting pigment powders presented homogeneous morphologic aspects, with average particle size of  $\sim 20$  nm. It is observed that although the powders have nanometric particle size, there is a tendency of agglomeration, due to its high superficial energy [22].

Fig. 3a and b presents the X-ray diffraction results for the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanometric pigment powders, respectively, obtained after the two stages of calcinations (as described in Fig. 1). The pigment powders obtained are crystalline and presented single phase, and this confirms the effectiveness of the synthesis method, leading to the crystalline phase formation at low temperatures of calcination.

The  $\text{TiO}_2$ :5%Co,  $\text{TiO}_2$ :5%Fe and  $\text{TiO}_3$ :2%Cr pigment powders (Fig. 3a) presented tetragonal structure (anatase phase), whose space group is  $I4_1/amd(141)$ , being identified by the index card JCPDS 84–1286. For the  $\text{Al}_2\text{O}_3$ :5%Co,  $\text{Al}_2\text{O}_3$ :5%Fe and  $\text{Al}_2\text{O}_3$ :2%Cr pigment powders (Fig. 3b) was obtained rhombohedral structure (corundum phase), whose space group is  $R\bar{3}c(167)$ , identified by the index card JCPDS 46–1212.

The crystalline structure of the pigments can be divided into two classes: (1) the materials colored by a mixture of high percentage of other components, which become part of their structure forming new phases, and (2) those which serve as host matrix into which chromophore ions are diluted, such as transition metals, forming a solid solution. In our case, the Co, Cr and Fe chromophore ions were diluted into  $\text{TiO}_2/\text{Al}_2\text{O}_3$  host matrix, substituting the  $\text{Ti}^{4+}/\text{Al}^{3+}$  cations, forming solid solutions without detectable changes in the phase and crystalline structure of the host matrix as observed through the X-ray diffractograms (Fig. 3), yielding high quality pigment powders.

Color is an economical way of creating new interests in an existing product, and also the most noticeable and least

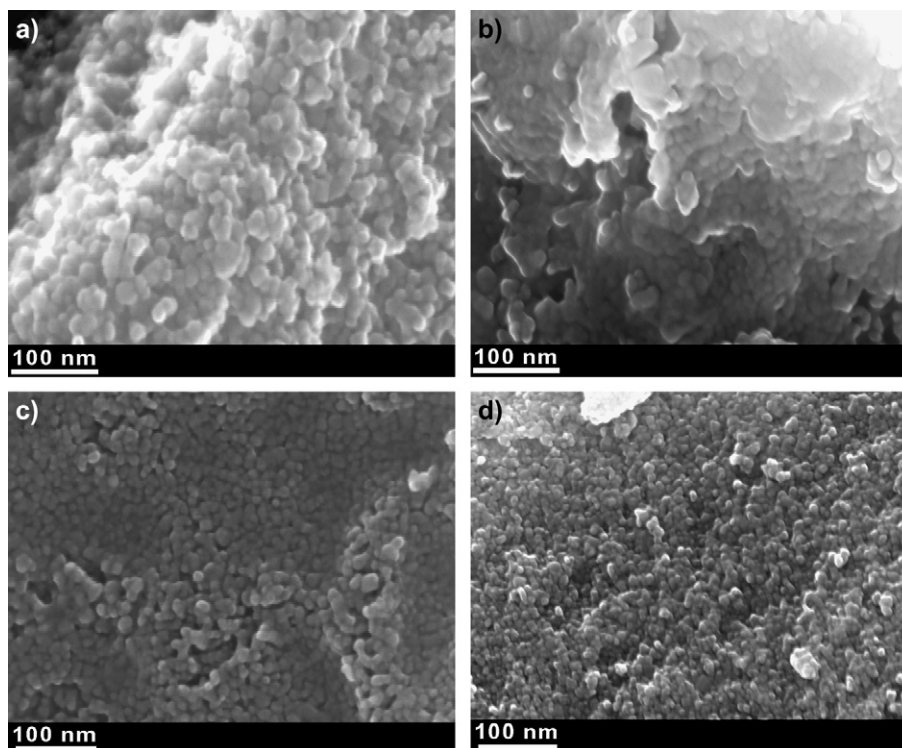


Fig. 2. SEM-FEG images of the nanometric pigment powders: (a)  $\text{Al}_2\text{O}_3$ :5%Co, (b)  $\text{Al}_2\text{O}_3$ :2%Cr, (c)  $\text{TiO}_2$ :5%Co and (d)  $\text{TiO}_2$ :2%Cr.

expensive element in decoration. There are several methods for color measurements, but in ceramics the most accepted method to specify the color of a product is the CIE- $L^*a^*b^*$  [21]. This method measures the diffuse reflectance intensity

in the visible region to obtain the three colorimetric coordinates  $L^*$ ,  $a^*$ ,  $b^*$ , obtaining the black/white lightness, green/red and blue/yellow color intensities, respectively, and also the total color difference  $\Delta E$ . Table 1 presents the colorimetric coordinates ( $L^*$ ,  $a^*$ ,  $b^*$ ) and  $\Delta E$  for the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  nanometric pigment powders, for different light sources (A, C and D50).

The  $\text{TiO}_2$ :5%Co pigment powder (green) showed negative values for  $a^*$  (less than  $-8.00$ ) indicating predominance of green color, and also a low intensity of yellow color ( $b^*$  positive values close to 0). The brown-reddish color of the  $\text{TiO}_2$ :5%Fe pigment powder is evidenced by positive values of  $a^*$  ( $>13$ ) and  $b^*$  ( $>26$ ) colorimetric coordinates, indicating a mixture of red and yellow colors. In the same way, the brown color of the  $\text{TiO}_2$ :2%Cr pigment is related with the low positive values of  $a^*$  and  $b^*$  ranging from 2.33 to 7.87. The  $\text{Al}_2\text{O}_3$ :5%Co (blue) presented high negative values of  $b^*$  (less than  $-40.00$ ), indicating intense blue color. The deep brown-reddish color of the  $\text{Al}_2\text{O}_3$ :5%Fe powder is made up of positive values of  $a^*$  ( $>30$ ) and  $b^*$  ( $>35$ ) (more intense compared to the  $\text{TiO}_2$ :5%Fe). The light green color of the  $\text{Al}_2\text{O}_3$ :2%Cr pigment powder is a sum of light yellow color ( $b^*$  values higher than 16) and low intensity of red ( $a^*$  values close to 0). The pigments  $\text{TiO}_2$ :5%Co,  $\text{TiO}_2$ :5%Fe and  $\text{Al}_2\text{O}_3$ :2%Cr showed more intense lightness values ( $L^*$ ; black = 0, white = 100) ranging of 45.75, 52.02 and 65.25, respectively, for the light source A. The pigments  $\text{TiO}_2$ :2%Cr,  $\text{Al}_2\text{O}_3$ :5%Co, and  $\text{Al}_2\text{O}_3$ :5%Fe showed  $L^*$  values of 35.12, 28.33, and 37.86 for the light source A, respectively. The low  $L^*$  values of these specific pigments can be related with the low diffuse reflectivity intensity

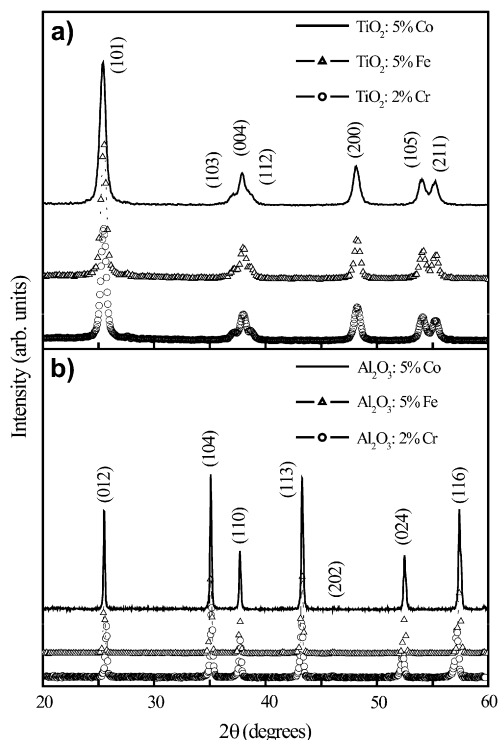


Fig. 3. X-ray diffraction patterns of the nanometric pigment powders after calcination of  $700\text{ }^\circ\text{C}/1\text{ h}$ ; (a)  $\text{TiO}_2$ :5%Co,  $\text{TiO}_2$ :5%Fe, and  $\text{TiO}_2$ :2%Cr, and (b)  $\text{Al}_2\text{O}_3$ :5%Co,  $\text{Al}_2\text{O}_3$ :5%Fe, and  $\text{Al}_2\text{O}_3$ :2%Cr.



Table 1

Colorimetric coordinates ( $L^*$ ,  $a^*$ , and  $b^*$ ) and total color difference ( $\Delta E$ ) of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  pigment powders, using light sources type A (tungsten lamp, 2800 K), C (halogen lamp, 6775 K), and D50 (day light), following the CIE- $L^*a^*b^*$  standard colorimetric method

Samples	Light source	$L^*$	$a^*$	$b^*$	$\Delta E$
$\text{TiO}_2$ :5%Co (green)	A	45.75	−8.85	0.85	46.60
	C	46.83	−13.62	4.80	49.00
	D50	46.66	−12.57	3.69	48.50
$\text{TiO}_2$ :5%Fe (brown-reddish)	A	55.02	16.59	31.20	65.39
	C	52.02	13.30	26.96	60.08
	D50	52.68	16.28	27.73	61.71
$\text{TiO}_2$ :2%Cr (brown)	A	35.12	6.52	7.87	36.58
	C	39.14	3.42	2.33	39.36
	D50	33.74	6.28	7.01	35.03
$\text{Al}_2\text{O}_3$ :5%Co (blue)	A	28.33	−3.96	−48.11	55.97
	C	32.64	9.62	−42.36	54.33
	D50	31.29	2.65	−44.05	54.10
$\text{Al}_2\text{O}_3$ :5%Fe (brown-reddish)	A	37.86	31.60	43.64	65.85
	C	32.82	30.29	35.51	57.06
	D50	33.89	33.45	37.14	60.39
$\text{Al}_2\text{O}_3$ :2%Cr (light green)	A	65.24	4.37	16.60	67.46
	C	64.23	−1.05	16.60	66.20
	D50	64.52	1.39	16.45	66.60

observed for these samples compared with the others (see Fig. 4a and b).

As stated previously (Section 2.4), the total color difference ( $\Delta E$ ) is a parameter used to compare two samples of the same color (e.g. the total color difference between a standard and a sample). One important information obtained here is that each pigment powder showed very close values of  $\Delta E$  even for the different excitation light sources A, D50, and C (lamp temperatures of 2800, 5000, and 6775 K, respectively). This result indicates that each pigment will have the same visual color among different types of illumination, which is highly desirable for ceramics.

It is known that the color originates by scattering of light whose wavelengths are complementary to those absorbed by a body. This mechanism involves electron excitation from the valence band to the conduction band under absorption of light. For transition-metal ions (e.g., Co, Fe, Cr) this most commonly occurs with transitions of electrons in the 3d orbital. Electronic transitions that occur in the “color range” are classified into three groups based on the electronic phenomenon causing the transition. These include (1) crystal field transitions, (2) molecular orbital transitions, and (3) color centers. A transition-metal ion can produce various colors depending primarily on the crystal field strength of the host matrix. Besides, the crystal field can be modified due to the lattice distortion caused by the transition metal-ion incorporation and due to the creation of defects (color centers) by charge compensation. In the selection of the host oxide, symmetry of the host cation is of important consideration, since the decrease in the degree of symmetry around the coloring ion enhances the transition probability between 3d orbitals, leading to a deepening of the coloration.

In  $\text{TiO}_2$  (anatase), the titanium is hexacoordinated and the addition of the  $\text{Co}^{+2}$ ,  $\text{Cr}^{3+}$  or  $\text{Fe}^{+3}$  creates defects such as oxygen vacancies due to the charge compensation. These defects cause a polarization in the titanium, changing the local crystalline field around the chromophore ions, making possible new electronic transitions among distorted 3d orbital levels. These electronic transitions of absorption for the visible spectrum result in the color of the  $\text{TiO}_2$ :5%Co (green),  $\text{TiO}_2$ :5%Fe (brown-reddish), and  $\text{TiO}_2$ :2%Cr (brown) pigment powders. In addition, based on the final color of the synthesized pigment powders, we are considering that the oxidation states of the chromophore ions incorporated into  $\text{TiO}_2$  were in majority  $\text{Co}^{+2}$  ( $3d^7$ ),  $\text{Cr}^{+3}$  ( $3d^3$ ) and  $\text{Fe}^{+3}$  ( $3d^5$ ) [12].

Fig. 4a and b displays the diffuse reflectance spectra of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  pigment powders in the 350–750 nm region. For the  $\text{TiO}_2$ :5%Co (green) pigment powder is observed a diffuse reflectance band around 500 nm (with a shoulder at 550 nm) and another broad band of around 700 nm (Fig. 4a). This spectrum is similar to that observed for the  $\text{Al}_2\text{O}_3$ :5%Co (blue) pigment (Fig. 4b) with the position of the bands shifted. The  $\text{TiO}_2$ :5%Fe (brown-reddish) system possesses an intense and broad diffuse reflectance band from 550 nm to 750 nm.  $\text{TiO}_2$ :2%Cr pigment powder (brown) has a spectrum very similar to that of  $\text{TiO}_2$ :5%Fe; however it presents diffuse reflectance with one half of the intensity in the 550–750 nm region.

The diffuse reflectance spectrum of the  $\text{Al}_2\text{O}_3$ :5%Co (blue) (Fig. 4b) presents the characteristic bands of  $\text{Co}^{2+}$  (electronic configuration  $3d^7$ ). In tetrahedral sites,  $\text{Co}^{2+}$  causes blue color, as found in some spinels. There is a band around 440 nm (with a shoulder at 500 nm) related to cobalt ion in tetrahedral sites ( $^2\text{E}$ ), and another band at 700 nm that represents the cobalt ion in octahedral sites ( $^4\text{T}_1$ ). The  $\text{Al}_2\text{O}_3$ :5%Fe (brown-reddish) (Fig. 4b) presents diffuse reflectance spectrum similar to the  $\text{TiO}_2$ :5%Fe in the 550–750 nm region, however with less than one half of the intensity. It can be verified for the  $\text{Al}_2\text{O}_3$ :2%Cr (light green) pigment (Fig. 4b) that it presents diffuse reflectance spectrum with increasing intensity from 350 nm to 750 nm and that there are three bands arising at 400 nm, 500 nm and 700 nm, associated with the allowed  $\text{Cr}^{3+}$  transitions  $^4\text{A}_2 \rightarrow ^4\text{T}_2$  and  $^4\text{A}_2 \rightarrow ^4\text{T}_1(\text{F})$  [23,24]. The intensity of these absorption bands depends on the content of the chrome. In the present work, we achieved intense and homogeneous green color for  $\text{Al}_2\text{O}_3$ :2%Cr, using synthesis temperature of 700 °C. Results found by Bondioli and Ferrari [23] showed that alumina presents green coloration only for amounts of  $\text{Cr}^{3+}$  above 80%.

In Fig. 4c and d is shown the UV–Vis transmittance spectra of the  $\text{TiO}_2$  and  $\text{Al}_2\text{O}_3$  colored films doped with Co, Fe and Cr, respectively. The transmittance of the  $\text{TiO}_2$ :5%Co and  $\text{TiO}_2$ :5%Fe films decreases from ~70% at 800 nm to less than ~15% at 400 nm, and this is associated with the properties of high reflectivity and scattering of white light, and also with the high absorbance of the  $\text{TiO}_2$  in the UV region. The  $\text{TiO}_2$ :2%Cr film possesses low transmittance (~20%) in the 800–400 nm region.

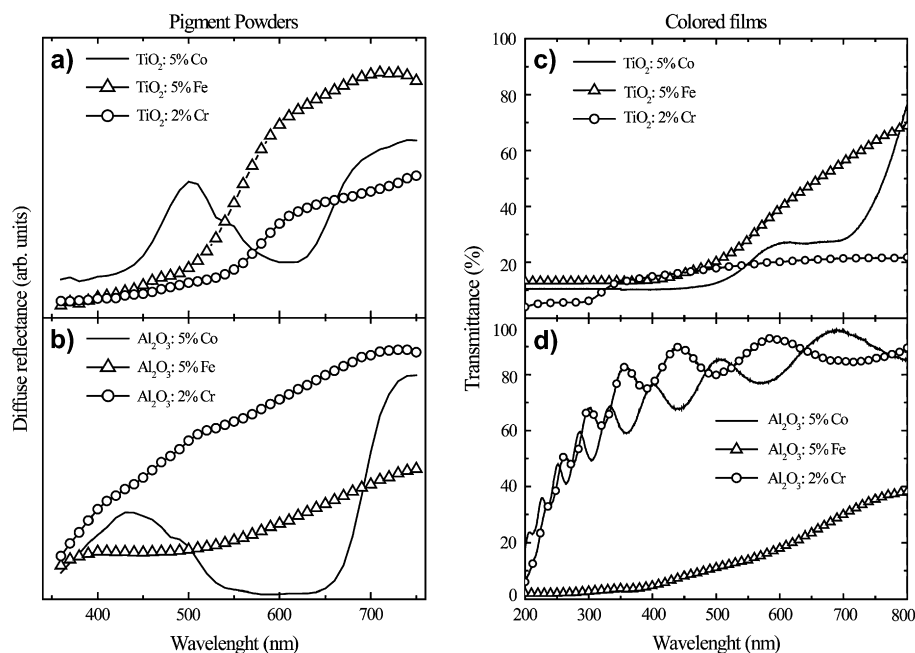


Fig. 4. Diffuse reflectance spectra of the nanometric pigment powders (left): (a) TiO<sub>2</sub> and (b) Al<sub>2</sub>O<sub>3</sub>; UV–Vis transmittance spectra of the films (right): (c) TiO<sub>2</sub> and (d) Al<sub>2</sub>O<sub>3</sub>.

Contrarily, the Al<sub>2</sub>O<sub>3</sub>:5%Co and Al<sub>2</sub>O<sub>3</sub>:2%Cr films possess high transmittance (90–70%) in the 800–400 nm region, which suddenly decreases (from 70% to 20%) from 400 nm to 200 nm, respectively, due to UV absorption in the band gap region. The Al<sub>2</sub>O<sub>3</sub>:5%Fe film presented low transmittance, which decreases from 40% to 5% in the 800–400 nm region. Interference fringes due to air–film and film–substrate interfaces were observed in the transmittance spectra of the Al<sub>2</sub>O<sub>3</sub>:5%Co and Al<sub>2</sub>O<sub>3</sub>:2%Cr films that presented high transmittance.

The colored film samples of TiO<sub>2</sub>:5%Co, TiO<sub>2</sub>:5%Fe, TiO<sub>2</sub>:2%Cr, Al<sub>2</sub>O<sub>3</sub>:5%Co, Al<sub>2</sub>O<sub>3</sub>:5%Fe and Al<sub>2</sub>O<sub>3</sub>:2%Cr deposited by EB-PVD presented thicknesses of 580 nm, 520 nm, 440 nm, 690 nm, 400 nm and 500 nm, respectively, measured using a talystep profiler. The films were deposited on amorphous quartz substrates heated at 350 °C during the evaporation process, to improve the adhesion and the packing density of the deposited layer. The thickness of the final film depends on the evaporation time and on the amount of mass pellet that was evaporated.

The study of the optical properties of the colored films was also performed using a method suggested by Peng and Desu [25], which is based on the upper and lower envelopes,  $T_{\max}$  and  $T_{\min}$ , respectively, of the interference fringes present in the transmission spectrum at normal incidence in the case of uniform films. The refractive index,  $n$ , corresponding to certain wavelengths,  $\lambda_i$ , for which the envelope curves are tangent to the transmission spectrum, were obtained from the following expression:

$$n = \sqrt{N + \sqrt{N^2 - s^2}} \quad (2)$$

where  $s$  is the refractive index of the substrate, which was independently obtained [26] for the wavelengths  $\lambda_i$ , the value of  $N$  being directly calculated from expression (3):

$$N = 2s \frac{T_{\max} - T_{\min}}{T_{\max} \times T_{\min}} + \frac{s^2 + 1}{2} \quad (3)$$

Using the calculated values of  $n$  it was also possible to calculate the film thickness,  $d$ , using the relationship:

$$d = \frac{a\lambda_i\lambda_f}{2(n_i \times \lambda_f - n_f \times \lambda_i)}, \quad (4)$$

where  $a$  is the number of fringe periods in the  $\lambda_i \rightarrow \lambda_f$  interval and  $n_i \rightarrow n_f$  are the respective refractive index for the wavelengths. The interference fringes can be described by the equation  $2nd = m\lambda$ , where  $m$  is the order number, being an integer or half-integer for an upper and a lower ( $T_{\max}$ ,  $T_{\min}$ ) tangent point, respectively.

Fig. 5a and b presents the curves of refractive index as a function of wavelength of the Al<sub>2</sub>O<sub>3</sub>:5%Co and Al<sub>2</sub>O<sub>3</sub>:2%Cr thick films, respectively, calculated by the envelope method using Eqs. (2) and (3) as mentioned above. The insets in Fig. 5 show the transmittance spectra and the envelope curves used as basis of the refractive index calculation. The solid points in the insets are the values of  $T_{\max}$  and  $T_{\min}$  tangent points, used for the refractive index calculation. It has been found, using the optical characterization method outlined above, that the Al<sub>2</sub>O<sub>3</sub>:2%Cr film possesses refractive index,  $n$ , varying from 1.460 (at 712.3 nm) to 1.540 (at 231 nm), and the Al<sub>2</sub>O<sub>3</sub>:5%Co film possesses,  $n$ , varying from 1.463 (at 690.7 nm) to 1.542 (at 226.4 nm).

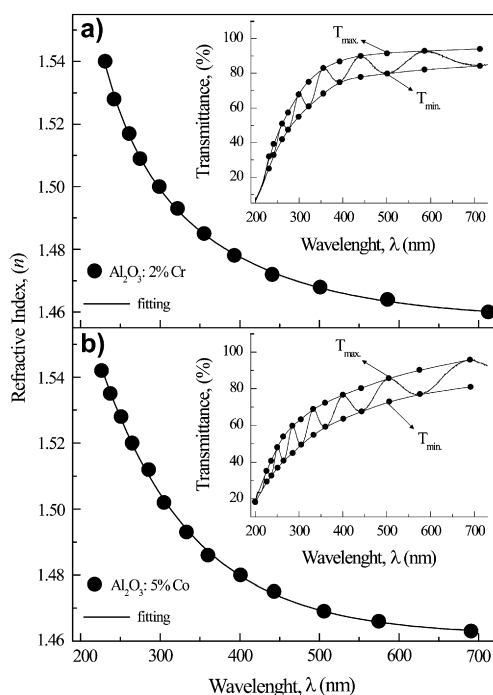


Fig. 5. Refractive index as a function of the wavelength of (a)  $\text{Al}_2\text{O}_3:5\%\text{Co}$  and (b)  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  thick-films calculated by envelope method using Eqs. ((2)–(4)) as mentioned in the text. The insets show the UV–Vis transmittance spectra of the films and the envelop curves used as a basis for the calculation. The solid points are the values of  $T_{\text{max}}$  and  $T_{\text{min}}$  used for the refractive index calculation.

For comparison purposes, it was reported that films of pure  $\text{Al}_2\text{O}_3$  have refractive index values of 1.58 (at 1000 nm) and 1.68 (at 250 nm) [27]. Also, electron beam evaporated  $\text{Al}_2\text{O}_3$  films were reported to possess refractive index value of 1.56 (at 830 nm) measured by ellipsometry [28]. Generally, slight dissociation and oxygen loss occurs during evaporation, and the films grow with a crystalline microstructure with low packing density. The refractive indices are dependent on the degree of oxidation, the substrate temperature and the lattice parameters matching between the substrate and material deposited, affecting the film density achieved.

The values of refractive index obtained for the  $\text{Al}_2\text{O}_3$  films are slightly below the values reported for pure  $\text{Al}_2\text{O}_3$  films, probably due to the effects described above and due to the doping with  $\text{Co}^{2+}$  and  $\text{Cr}^{3+}$ , which can induce oxygen vacancies in the film structure. To our knowledge, there is no work that reports refractive index results of  $\text{Co}^{2+}$  and  $\text{Cr}^{3+}$  doped  $\text{Al}_2\text{O}_3$  films.

Table 2 summarizes the values of refractive index obtained for the  $\text{Al}_2\text{O}_3:5\%\text{Co}$  and  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  thick films, the values of thickness calculated from Eq. (4), and compared with the thickness values measured in the talystep profiler. The difference in the thickness calculated and measured in the talystep profiler for the  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  ( $d_{\text{calc.}} = 594$  nm,  $d_{\text{measured}} = 500$  nm) and  $\text{Al}_2\text{O}_3:5\%\text{Co}$  ( $d_{\text{calc.}} = 604$  nm,  $d_{\text{measured}} = 690$  nm) films is due to the low number of interference fringes in the transmittance spectra ( $\sim 7$  periods of fringes), inducing imprecision in the thickness value calculated. The error in the talystep profiler measurements is  $\sim 1.0$  nm.

Table 2

Refractive index as function of wavelength for  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  and  $\text{Al}_2\text{O}_3:5\%\text{Co}$ , calculated by the envelop method of the transmittance spectra

Films			
$\text{Al}_2\text{O}_3:2\%\text{Cr}$ ( $d_{\text{calc.}} = 594$ nm, $d_{\text{measured}} = 500$ nm)		$\text{Al}_2\text{O}_3:5\%\text{Co}$ ( $d_{\text{calc.}} = 604$ nm, $d_{\text{measured}} = 690$ nm)	
$\lambda$ (nm)	$n$	$\lambda$ (nm)	$n$
712.3	1.460	690.7	1.463
585.8	1.464	575	1.466
501	1.468	506	1.469
440.6	1.472	443	1.475
393	1.478	401	1.48
355.4	1.485	360.2	1.486
322	1.493	333.2	1.493
299	1.500	305	1.502
274.8	1.509	285.8	1.512
261.2	1.517	264.9	1.52
242	1.528	251	1.528
231	1.54	237.3	1.535
—	—	226.4	1.542

The thickness ( $d$ ) of the films was also calculated and compared with the value measured in the talystep profiler.

The error for the refractive index ( $n$ ) calculated from the transmittance spectra by the envelop method is 0.002. The error in ( $n$ ) has been derived from the values of each standard deviation  $\sigma_{n-1}$ , associated with the fitting parameters of the envelop method, obtained by the least-squares fit.

Fig. 6 show the AFM images of the roughness surface and the grain size of the  $\text{Al}_2\text{O}_3:5\%\text{Co}$  (Fig. 6a) and  $\text{TiO}_2:5\%\text{Co}$  (Fig. 6b). Images obtained on different regions of the samples showed that the films exhibit a homogeneous globular structure. The average dimension of the particles in the initial powders was 20 nm, in the films it was found to be 75 nm (from 50 nm to 100 nm), and the films' average roughness obtained is around 5.5 nm.

#### 4. Conclusions

Nanometric pigment powders of  $\text{TiO}_2:5\%\text{Co}$  (green),  $\text{TiO}_2:5\%\text{Fe}$  (brown-reddish),  $\text{TiO}_2:2\%\text{Cr}$  (brown),  $\text{Al}_2\text{O}_3:5\%\text{Co}$  (blue),  $\text{Al}_2\text{O}_3:5\%\text{Fe}$  (brown-reddish) and  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  (light green) with crystalline phases were obtained at low temperatures of calcination (700 °C/1 h) using a modified Pechini's method. The  $\text{TiO}_2:5\%\text{Co}$ ,  $\text{TiO}_2:5\%\text{Fe}$  and  $\text{TiO}_2:2\%\text{Cr}$  pigment powders presented anatase phase (space group:  $I4_1/amd(141)$ , JCPDS 84–1286) while the  $\text{Al}_2\text{O}_3:5\%\text{Co}$ ,  $\text{Al}_2\text{O}_3:5\%\text{Fe}$  and  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  pigment powders showed corundum phase (space group:  $R\bar{3}c(167)$ , JCPDS 46–1212). The average particle size of the pigment powders was about 20 nm, measured by SEM-FEG. Each pigment powder presented low color difference (close  $\Delta E$  values) among the three different types of illumination used (A, 2800 K; D50, 5000 K; and C, 6775 K), evaluated by the measure of the diffuse reflectance spectra and colorimetric coordinates  $L^*$ ,  $a^*$ ,  $b^*$  using the CIE- $L^*a^*b^*$  method, in the 350–750 nm range.

The colored film samples of  $\text{TiO}_2:5\%\text{Co}$ ,  $\text{TiO}_2:5\%\text{Fe}$ ,  $\text{TiO}_2:2\%\text{Cr}$ ,  $\text{Al}_2\text{O}_3:5\%\text{Co}$ ,  $\text{Al}_2\text{O}_3:5\%\text{Fe}$  and  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  deposited by EB-PVD presented thicknesses of 580 nm,

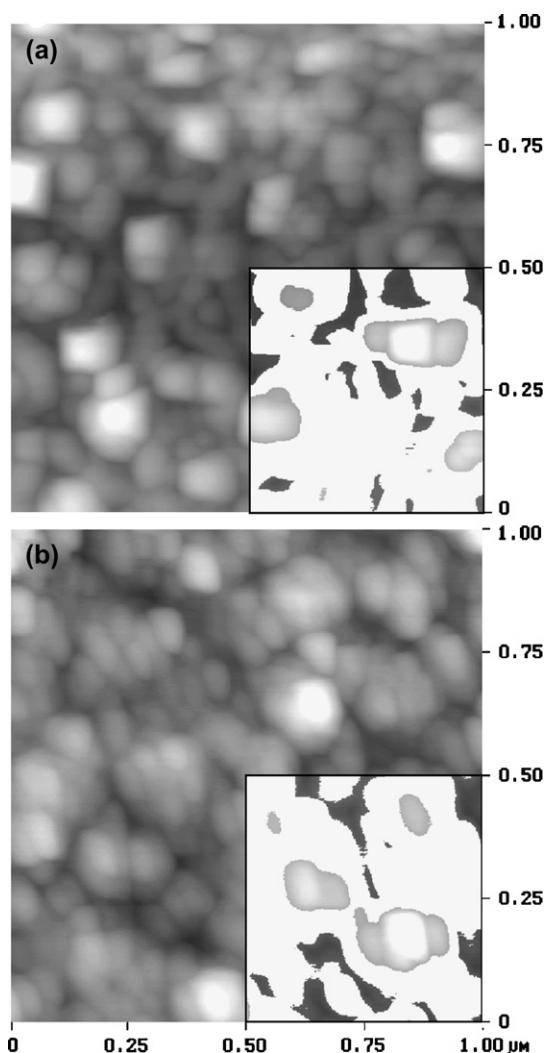


Fig. 6. AFM images of the surface of (a)  $\text{Al}_2\text{O}_3:5\%\text{Co}$  and (b)  $\text{TiO}_2:5\%\text{Co}$  films.

520 nm, 440 nm, 690 nm, 400 nm and 500 nm, respectively, measured using a talystep profiler. The  $\text{TiO}_2$  films showed transmittance of 70% at 800 nm decreasing to less than  $\sim 15\%$  at 400 nm, associated with the properties of high reflectivity and scattering of white light, and also the high absorbance of the  $\text{TiO}_2$  in the UV region. The  $\text{Al}_2\text{O}_3:5\%\text{Co}$  and  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  films presented high transmittance at 800 nm (90%) and 400 nm (70%), which suddenly decreases (from 70% to 20%) from 400 nm to 200 nm (band gap region). The  $\text{Al}_2\text{O}_3:5\%\text{Fe}$  film presented low transmittance, which decreases from 40% to 5% in the 800–400 nm region.

Transmission spectra envelop method has been used to obtain refractive index and thickness of the  $\text{Al}_2\text{O}_3$  colored thick films. The  $\text{Al}_2\text{O}_3:2\%\text{Cr}$  film possesses refractive index varying from 1.460 (at 712.3 nm) to 1.540 (at 231 nm), and the  $\text{Al}_2\text{O}_3:5\%\text{Co}$  film possesses refractive index varying from

1.463 (at 690.7 nm) to 1.542 (at 226.4 nm). AFM images obtained on different regions of the  $\text{Al}_2\text{O}_3:5\%\text{Co}$  and  $\text{TiO}_2:5\%\text{Co}$  samples showed that the films exhibit a homogeneous globular structure. The average grain size obtained for the films is around 75 nm and the average roughness is  $\sim 5.5$  nm.

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